Rec'd PCT/PTO 73 NOV 200

#2

Attorney Docket No. Le A 33 771

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Weber, et al.

Serial No.: [to be assigned], National Stage Filing of PCT/EP00/04011

Filed: herewith

For: Organ, Tissue and Cell-Specific Immuno-Therapeutic for Chronic Viral Infections and Inflammatory, Degenerative and Proliferative Diseases, in Particular of the Liver, and for

Cancer, Based on a Recombinant Parapox Virus

BOX PCT ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))

Dear Sir:

Applicants wish to cite for the record in the above-identified application the references shown on the accompanying copy of PTO form 1449.

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement transmitted herewith is being filed **before** the mailing date of the first Office action on the merits.

FEE PAYMENT

Applicants believe that no fees are due with this submission. However, the Commissioner is hereby authorized to charge any fees that may have been overlooked but that are required to Deposit Account 13-3372. Additionally, please credit any overpayment to the same account.

Respectfully submitted,

Reg. No. 48,972

Telephone: (203) 812-6450

Date: NOV 1 3 2001

Susan M. Pellegrino

Attorney for Applicant(s)

Bayer Corporation 400 Morgan Lane

West Haven, CT 06516

10/004020 Atty. Docket No. Filing Date Group Art ! Serial No. U.S. Department Form PTO-1449 NOV 1 3 2001 Le A 33 771 Patent and Trademark Office (Modified) Olaf Weber, et al. Applicant(s) INFORMATION DISCLOSURE CITATION U.S. PATENT DOCUMENTS FILING DATE IF **CLASS** SUB-NAME DATE DOCUMENT NO. APPROPRIATE **CLASS** MM/DD/YY FOREIGN PATENT DOCUMENTS TRANSLATION SUB-PRIMARY **CLASS CLASS** COUNTRY DATE DOCUMENT NO. NO YES DD/MM/YY X 04/09/97 DE 01 6 9 6 3 9 1 F_1 CA 7 3 3 6 04/09/97 2 2 4 F2 WO 09/10/97 3 1 0 9 7 3 7 F₃ Х 05/01/95 DE 1 4 0 5 8 4 F_4 $\mathsf{C}\mathsf{A}$ 31/08/95 0 7 8 2 2 \mathbf{F}_{5} 2 1 OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	R ₁	Buttner, M., Czerny, CP., Lehner, KH., Wertz, K., "Interferon Induction in Peripheral Blood Mononuclear Leukocytes of Man and Farm Animals by Poxvirus Vector Candidates and Some Poxvirus Constructs", Veterinary Immunology and Immunopathology, 46: 237-250 (1995)	
	R ₂	Harris, J. D., and Lemoine, N. R., "Strategies for Targeted Gene Therapy", TIG, 12(10): 400-405 (Oct. 1996)	
	R ₃	Rziha, HJ., Henkel, M., Cottone, R., Meyer, M., Dehio, C., and Buttner, M., "Parapoxviruses: Potential Alternative Vectors for Directing the Immune Response in Permissive and Non-permissive Hosts", J. Biotechnology, 73: 235-242 (1999)	
ì			

EXAMINER	DATE CONSIDERED

^{*} EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.